



Appn. No. : 10/667,740  
Applicant : Bailey, *et al.*  
Filed : September 22, 2003  
TC/A.U. : 2121  
Examiner : Unassigned  
  
Docket No. : BOC9-2003-0028 (397)

Confirmation No. 1890

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TRANSMITTAL LETTER

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

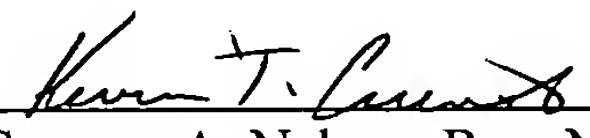
Please find enclosed the following for filing:

- ☒ Information Disclosure Statement, Form PTO/SB/08A & B with references;
- ☒ Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-0951; and
- ☒ Other: (1) Postcard.

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 2/10/04

  
\_\_\_\_\_  
Gregory A. Nelson, Reg. No. 30,577  
Kevin T. Cuenot, Reg. No. 46,283  
Brian K. Buchheit, Reg. No. 52,667  
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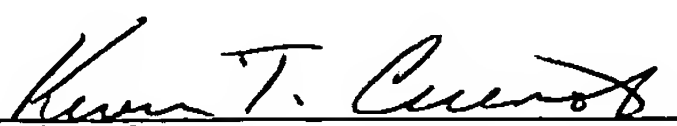
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{WP167818;1}

CERTIFICATE UNDER 37 CFR 1.8(a)

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Date 2/10/04

  
\_\_\_\_\_  
Kevin T. Cuenot Reg. No. 46,283



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INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Forms PTO/SB/08A & B and which the Examiner may deem relevant to patentability of the claims of the above-identified application. Further, pursuant to the partial waiver of the submission requirement under 37 C.F.R. 1.98(a)(2)(i), Applicants are not submitting copies of U.S. patent references with this paper.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not

{WP167818;1}

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Date

2/10/04

Kevin T. Cuenot

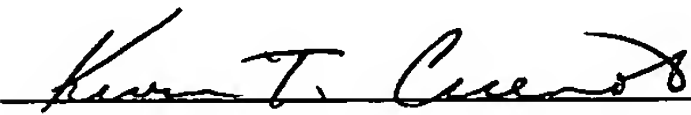
Reg. No. 46,283

waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Forms PTO/SB/08A & B be returned in accordance with MPEP §609.

Respectfully submitted,

Date: 2/10/04

  
\_\_\_\_\_  
Gregory A. Nelson, Reg. No. 30,577  
Kevin T. Cuenot, Reg. No. 46,283  
Brian K. Buchheit, Reg. No. 52,667  
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**Complete if Known**

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10/667,740           |
| Filing Date            | September 22, 2003   |
| First Named Inventor   | Bailey, et al.       |
| Art Unit               | 2121                 |
| Examiner Name          | Unassigned           |
| Attorney Docket Number | BOC9-2003-0028 (397) |

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>6</sup>                      |
|--------------------|-----------------------|---|--------------------------------|---|---|-------------------------------------|
|                    |                       | Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known) |                                |   |   |                                     |
|                    |                       | WO 02/03201   | 01-10-2002                     | Intel Corp.                                     |   | <input checked="" type="checkbox"/> |
|                    |                       |   |                                |   |   | <input type="checkbox"/>            |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |      | <b>Complete if Known</b> |                      |
|   |      | Application Number       | 10/667,740           |
|   |      | Filing Date              | September 22, 2003   |
|   |      | First Named Inventor     | Bailey, et al.       |
|   |      | Art Unit                 | 2121                 |
|   |      | Examiner Name            | Unassigned           |
| Sheet 2   | of 2 | Attorney Docket Number   | BOC9-2003-0028 (397) |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                       | HIROSAWA, T., et al., "Dev. of a Sys. Oper. Vicarious Execution Function of Mainframe Comp. Systems by the Pers. Comp. Control", Info. Proc. Soc. of JP, Vol.41, #9, Sep. 2000.   | ✓              |
|                                 |                       | "ISPF for z/OS - Features and Benefits - IBM Software", IBM Corp., viewed 09/08/2003.   | ✓              |
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